

OUR INVESTMENT

PRINCIPLES AND POLICIES



BEST PRACTICES

Oxfam Novib strives for transparency, accountability, and responsible behaviors in the financial sector. Oxfam Novib adheres to the OECD Guidelines and the UN Guiding Principles on Business and Human Rights.

We ensure that our fund managers manage our funds, ensuring that all our investments fulfill with our investment criteria, within the global best practices on responsible investments and that they report on this publicly.

[CLICK TO READ ABOUT OUR POLICIES](#)

We guarantee that Environmental, Social, and Governance (ESG) criteria are applied across the entire investment process.

[LEARN MORE](#)

During the process of screening our investments, we are diligently monitoring for potential negative consequences of the investments. This process is described in TJ Responsible Investment Policy under section 3 Due Diligence and in section B of ONP's website disclosure.

Our contracts with fund managers include ambitious KPIs to achieve and monitor our ESG targets in order to prevent, limit and mitigate potential negative consequences.

For example, a minimum score of 60% on the ALINUS SPI4 questionnaire is required for an investment to be considered. Furthermore, if specific gaps have been identified in the ESG risk management of the proposed investment, these gaps are discussed with the company and the requirement for improvements may be included in the contractual agreement between the fund manager and the investee.

Investees report on ESG and Impact on a regular basis as part of our monitoring process.

For further information, on the ALINUS tool, click button below;

[CLICK HERE](#)

Oxfam Novib applies the Universal Standards for Social and Environmental Performance Management (USSEPM) which have been developed specifically for the inclusive finance sector and are based on existing standards, including the OECD guidelines and the UNGPs - [see here](#).

We refer to these standards in section B of Oxfam Novib's Portfolio website disclosure.

[DISCRETIONARY POLICY](#)

We require all our investees to have a functioning and effective complaint mechanism. Our fund managers have proper Complaints Policy in place, which is complemented by an ESG Grievance Mechanism. So, in the case that there are negative consequences, we will make sure that there are avenues for remedy and remediation.